

2006 DEC 28 PM 4:07

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Noriko SAKASHITA et al.

Group Art Unit: 1713

Application Number: 10/730,887

Examiner: Dr. Kelechi Chidi Egwim

Filed: December 10, 2003

Confirmation Number: 5155

For: **PROCESSING AID FOR VINYL CHLORIDE RESIN AND VINYL
CHLORIDE RESIN COMPOSITION**

Attorney Docket Number: 000466A

Customer Number: 38834

REQUEST FOR REFUNDCommissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

December 27, 2006

Sir:

Applicants respectfully request a refund for \$70, which was charged against Deposit Account No. 50-2866.

Applicants filed an RCE with Request for Limited Suspension of Action for 3 Months on November 29, 2006. Applicants included the fee under 37 C.F.R. §1.17(i) for \$130.

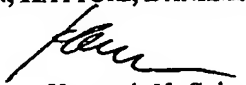
A deposit charge of \$70.00 was subsequently charged on November 30, 2006. Applicant(s) do not recognize this charge for anything that has been filed in the referenced application. A copy of the Deposit Account monthly statement dated November 2006 accompanies this Request.

Applicants note that a similar Suspension For Cause would, if filed, incur a fee under §1.17(g) for \$200. However, Applicants have not filed such a Suspension For Cause; Applicants have merely filed (and paid for) a Suspension After RCE.

Request for Refund
Application No. 10/730,887
Attorney Docket No. 000466A

Applicant(s) request(s) that the Patent Office refund the amount of \$70.00 to Deposit
Account 50-2866.

Respectfully submitted,
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP


Kenneth H. Salen
Registration No. 43,077
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

KHS/rf
Enclosures: Deposit Account Monthly Statement of November 2006
Copy of Check # 16111 for \$920.00



**United States
Patent and
Trademark Office**



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Deposit Account Statement

Requested Statement Month: November 2006
Deposit Account Number: 502866
Name: WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
Attention: ACCOUNTS PAYABLE
Address: 1250 CONNECTICUT AVE, NW
City: WASHINGTON
State: DC
Zip: 20036
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/01	19	11034948	031168A	1806	-\$180.00	\$8,742.00
11/01	20	11429962	042533A	1051	-\$130.00	\$8,872.00
11/03	4	10549303	053121	1203	\$360.00	\$8,512.00
11/06	95	10506537	042541	1251	\$120.00	\$8,392.00
11/07	2	11230518	053133	1806	\$180.00	\$8,212.00
11/07	26	11343221	062075	1011	\$300.00	\$7,912.00
11/07	29	11343221	062075	1051	\$130.00	\$7,782.00
11/07	27	11343221	062075	1111	\$500.00	\$7,282.00
11/07	28	11343221	062075	1311	\$200.00	\$7,082.00
11/07	4	10493867	042371	1201	\$800.00	\$6,282.00
11/07	5	10493867	042371	1202	\$500.00	\$5,782.00
11/08	53	11475241	062732	1252	\$330.00	\$5,452.00
11/09	1	11340497	042423A	1806	\$180.00	\$5,272.00
11/13	11	10927006	042736	1806	-\$180.00	\$5,452.00
11/14	1	10924956	042692	1201	\$200.00	\$5,252.00
11/14	166	11595857	020473A	1202	\$90.00	\$5,162.00
11/14	168	11595857	020473A	1203	\$360.00	\$4,802.00
11/15	17	09400833		9204	-\$920.00	\$5,722.00
11/15	2	10809809	042278	1201	\$200.00	\$5,522.00
11/15	3	10809809	042278	1202	\$50.00	\$5,472.00
11/15	6	10514638		9204	-\$300.00	\$5,772.00
11/15	20	11581378	063008	1051	\$130.00	\$5,642.00
11/16	321	10492836	042329	1504	\$300.00	\$5,342.00
11/20	86	11530201	053104A	1011	-\$300.00	\$5,642.00
11/20	87	11530201	053104A	1111	-\$500.00	\$6,142.00
11/20	88	11530201	053104A	1311	-\$200.00	\$6,342.00
11/22	331	29236272	052981	1502	\$800.00	\$5,542.00



WESTERMAN, HATTORI, DANIELS, & ADRIAN, LLP - OPERATING ACCOUNT

Client	Matter	Invoice #	Description
0006	2597	0006 2597	10/730,887
0006	2597	0006 2597	10/730,887

COMMISSIONER FOR PATENTS AND TRADEMARKS

11/29/06

Total this stub

16111
Amount
790.00
130.00
<hr/> 920.00

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PTO/SB/307(08-03)

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Request For Continued Examination (RCE) Transmittal

Address to:
Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/730,887
Filing Date	December 10, 2003
First Named Inventor	Noriko SAKASHITA
Art Unit	1713
Examiner Name	Dr. Kelechi Chidi Egwim
Attorney Docket Number	000466A

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. § 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- ii ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
- iii ☐ Other
- b. ☒ Enclosed
- i ☒ Amendment/Reply
- ii ☐ Affidavit(s)/Declaration(s)
- iii ☐ Information Disclosure Statement (IDS)
- iv ☐ Other

2. Miscellaneous

- a. ☒ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of 3 months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other

3. Fees

The RCE fee under 37 C.F.R. § 1.17 (e) is required by 37 C.F.R. § 1.114 when RCE is filed.

- a. ☒ Check in the amount of \$ 920.00 enclosed
- i ☒ RCE fee required under 37 C.F.R. § 1.17 (e)
- ii ☐ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
- iii ☐ Other
- b. ☒ The Director is hereby authorized to charge any additional fees in connection with filing of this paper or credit any overpayments, to Deposit Account No. 50-2866.
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

11/30/2006 JADD01 00000026 502866 10730887
01 FC:1801 798.00 CP
02 FC:1463 70.00 CR 130.00 CP

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Kenneth H. Salen	Registration No. (Attorney/Agent)	43,077
Signature		Date	November 29, 2006

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	
Signature	
Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Adjustment date: 01/24/2007 SFELEKE1
11/30/2006 JADD01 00000026 502866 10730887
02 FC:1463 70.00 CR -130.00 CP

01/24/2007 SFELEKE1 00000008 10730887

130.00 CP

01 FC:1464